

+LJKHU (GXFDWLRQ (PHUJHQF\ 5HOLHI )XQG 5HS  
 )LQDQFLDO \$LG \*UDQWV WR 6WXGHQWV

1RWHXQGV DYDLODEOH LQ \* RQ

FZD[WHU#WULDQJOH WHFK HGX

7ULDQJOH 7HFK 23VXEPLWWHG WR ('ERWK WKH \$PHULFDQ  
6XSSOHPHQWDO \$JUHHPHQW \$/1 4 D DQG WKH & H  
4 IRU WKH ~~3RUXQH~~HRQQV S\$XLQVXDQW WR VHFWLRQ D F  
5HOLHI 6XSSOHPHQWDO \$\$SURSULDWLRQV \$FW & 556\$\$  
DQG UHTXLUHPHQWV RI ERWK WKH \$53 6XSSOHPHQWDO \$JU  
\$JUHHPHQW & \$

7KH WRWDO DPRXQW RI IWÂq/wF

7KH HVWLDPDWHG WR

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HOLJMRQHFLYH (PHUJHQ  
\$LG \*UDQWV WR 6WXGHQWV XQGHU WKH \$53 D SURJUDP

7KH ~~WRQXID~~EHU RI VWXGHQWV ZKR KDYH UHFHLYHG DQ (PHU  
D SURUDP

7KH PHWKRGVLQWHLWWKRDQMRZKHF K VWXGQHQWV QDHFILLYH  
\*UDQWV DQG KRZ PXFK WKH\ ZRXOG UHFHLYH ~~DXQGHU~~ WKH \$  
\$WWDFKPHQW \$

\$Q\ LQVWUXFWLRQV GLUHFWRQV RU JXLGDQFH SURYLGHC  
(PHUJHQF\ )LQDQFLDO \$LG\$WWDFKPHQW ~~BQMV~~ SUBQIGG \$VWDDWFKPHC



(OLJLELOLW\

7R EH HOLJLEOH WR UHFHLYH +((5) , , , 6WXGHQW 6KDUH \*UDQW )XQGV  
EHORZ <sup>3</sup>(OLJLEOH 6WXGHQW'

6WXGHQW XOG



7ULDQJOH 7HFK ZLOO GLVEXUVH WKH +((5) ,,, 6WXGHQW 6KDUH \*UDQ  
6WXGHQW ,QIRUPDWLRQ 0DQDJHPHQW 6\VWHP EXW LQ QR HYHQW VK  
SD\ GRZQ DQ\ EDODQFH RZH G E\ WKH VWXGHQW WR WKH 6FKRRO ZLW

\$ 77 \$ & + 0 ( 17 %

+((5),,,678'(176+\$5(\*5\$1732/, &<  
\$33(1'; \$6\$03/(\$33, &\$7,21

7ULDQJOH 7HFK +((5) , , , 6WXGHQW 6KDUH \*UDQW \$SS

%\ FRPSOHWLQJ WKLV DSSOLFDWLRQ , XQGHUVWDQG WKDW , D  
(PHUJHQF\ 5HOLHI )XQG , , +(5) , , XQGHU WKH \$PHULFDQ 5H

)XUWKHUPRUH , KDYH EHHQ SURYLGHG ZLWK 7ULDQJOH 7HFK  
ZKLFK LQFOXGHV HOLJLELOLW\ UHTXLUHPHQWV GHILQHG WHUP  
FRPSOHWH WKLV \$SSOLFDWLRQ &HUWLILFDWLRQ

6WXGHQW , QIRUPDWLRQ

38 DVH FRPSOHWH WKH IROORZLQJ LQIRUPDWLRQ

1DPH

7ULDQJOH 7HFK 6WXGHQW , ' 1XPEHU  
7ULDQJOH 7HFK 6WXGHQW ( PDLO  
\$GGUHVV

\$SSOLFDWLRQ DQG &HUWLILFDWLRQ

&DSLWDOL]HG WHUPV DUH GHILQHG LQ 7ULDQJOH 7HFKPV +((5)  
, FHUWLI\ WKDW , DP DQ (OLJLEOH 6WXGHQW DQG DP VXEPLWWL  
6KDUH \*UDQW XQGHU \$53\$

%\ VLJQLQJ WKLV \$SSOLFDWLRQ , FHWULI\ WKH IROORZLQJ  
, KDYH UHDG WKH 7ULDQJOH 7HFKPV +((5) ,,, 6WXGHQW 6KD  
, ZLOO XVH WKH +((5) ,,, 6WXGHQW 6KDUH \*UDQW IXQGV IRU  
RU IRU HPHUJHQF\ FRVWV WKDW DULVH GXH WR FRURQDYL  
LQFOXGLQJ PHQWDO KHDOWKFDUH RU FKLOG FDUH ^+((5)  
, XQGHUVWDQG WKDW \$53\$ UHTXLUHV WKDW 7ULDQJOH 7HF  
ZKHQ PDNLQJ +((5) ,,, 6WXGHQW 6KDUH \*UDQW DZDUGV 'HS  
PD\ RU PD\ QRW EH DZDUGHG +((5) ,,, 6WXGHQW 6KDUH \*UD

7ULDQJOH 7HFK ZLOO UHYLHZ \RXU \$\$SOLFDWLRQ DQG \RX ZLO  
+((5) ,,, 6WXGHQW 6KDUH \*UDQW IXQGV DZDUGHG WR \RX

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+((5),,,678'(17 6+\$5(\*5\$17 32/,&<  
\$33(1';;% 6\$03/( ',6%856(0(17 ,16758&7,21  
+((5),,,6WXGHQW 6'KDVHJU\*VHDPQHMQ W ,QVWUXFWLR