1RWH }XQGV DYDLODEOH LQ * RQ

DQG

$JUHHPHQW & $

7KH WRWDO DPRXQW RI IXQGV WKDW WKH LQVWLWXWLRQ Z
WR WKH LQVWLWXWLRQ¶V 6XSSOHPHQWDO $JUHHPHQW >IRU
$53 D SURJUDP LV

7KH WRWDO DJPRXQFRQLRQDIHQFLDO DLG JUDQWV GLVWULEXWRHJG
DV RI WKH GDWHHVRI VXEPLVVLRQ

7KH HVWLPDWHG WRWDO QXPEHU RI LVWWXXGHQHHFLYYHV (WKHJLHQ
$LG *UDQWV WR 6WXGHQWV XQGHU WKH $53 D SURJUDP

7KH WRWDO QXPEHU RI VWXGHQWV ZKR KDYH UHFHLYHG DQ
D SURVUDP

7KH PHWKRGWKXVHHGVEWWVXPLLRHQVRZKXRGGLVW\PHUUJHQF\ )LQDQF
*UDQWV DQG KRZ PXFK WKH\ ZRXOG UHFHLYHDXQGHU WKH $
$WWDFKPHQW $

$Q\ LQVWUXFWLRQV GLUHFWLRQV RU JXLGDQFH SURYLGHG
(PHUJHQF\ )LQDQFLDO $LG $*UDWQDFFKPHQW $URQGG$GWWDFKPHQW

<RX PXVWKHG\ WKHGDHQ WKOFGFLRQVVVFXOGXWGLWLW\PDGHEDVXDRULYKHRDJHVWVKHURJO)GS\RERO FR

,Q 0D\   WKH 8 6 'HSDUWPHQW RI (GXFDWLRQ ³('´ DQQRXQFHG DGGI
HGXFDWLRQ WR VHUYH VWXGHQWV DQG HQVXUH OHDUQLQJ FRQWLQXHV
WKH +LJKHU (GXFDWLRQ (PHUJHQF\ 5HOLHI)XQG ,,, ³+((5 ,,,´ DQG ZDV
     ³$53$´   ZKLFK ZDV VLJQHG LQWR ODZ RQ 0DUFK

8QGHU 53 +((5 ,,, 6WXGHQW 6KDUH *UDQW IXQGV PD\ EH XVHG IRU
DWWHQGDQFHRU HPHUJHQF\ FRVWV WKDW DULVH GXH WR FRURQDYLUX
 LQFOXGLQJ PHQWDO KHDOWK FDUH   RU FKLOG FDUH

7KH 53 UHTXLUHV WKDW LQVWLWXWLRQV SULRULWL]H VWXGHQWV Z
H[FOXVLYHO\ HQUROOHG LQ GLVWDQFH HGXFDWLRQ

3XUSRVH DQG 6FRSH

7ULDQJOH 7HFK LV HOLJLEOH WR UHFHLYH +((5 ,,, 6WXGHQW 6KDUH *U
7HFK¶V PHWKRGRORJ\ IRU GHWHUPLQLQJ HOLJLELOLW\ XQGHU 53 DV
GLVEXUVLQJ WKH +((5 ,,, 6WXGHQW 6KDUH *UDQW)XQGV

3ROLF\

7ULDQJOH 7HFK ZLOO PDNH DYDLODEOH   RI +((5 ,,, 6WXGHQW 6KDU
UHTXLUHPHQWV RI 53 DQG DQ\ VXEVHTXHQW JXLGDQFH E\ ('

6WXGHQWV HQUROOHG LQ $67 'HJUHH DQG GLSORPD SURJUDPV PD\ E
)XQGV

7ULDQJOH 7HFK ZLOO QRW FRQGLWLRQ WKH GLVEXUVHPHQW RI +((5
FRQWLQXHG RU IXWXUH HQUROOPHQW DW WKH VFKRRO

7ULDQJOH 7HFK ZLOO QRW XVH WKH +((5 ,,, 6WXGHQW 6KDUH *UDQW
EDODQFH XQOHVV WKH 6FKRRO KDV REWDLQHG WKH VWXGHQW¶V ZUL

7ULDQJOH 7HFK ZLOO QRW UHTXLUH VXFK FRQVHQW DV D FRQGLWLR
6KDUH *UDQW IXQGV

$SSO\LQJ IRU D +((5 ,,, 6WXGHQW 6KDUH *UDQW

$Q (OLJLEOH 6WXGHQW PD\ DSSO\ IRU D +((5 ,,, 6WXGHQW 6KDUH *U
ZLOO KDQG GHOLYHUHG WR WKH VWXGHQW RU H PDLOHG WR WKH VW
$LG $GYLVRU

2QFH WKH $SSOLFDWLRQ LV PDGH DYDLODEOH WR VWXGHQWV 7ULDQ
RI RQH ZHHN R• 5pXGH S•KÂ'§µ€`GGDLRN SLDYLFDWKDH ÐSHÖG/Wµ@GHZHLP(R

7ULDQJOH 7HFK ZLOO GLVEXUVH WKH +((5) ,,, 6WXGHQW 6KDUH *UDQ
6WXGHQW ,QIRUPDWLRQ 0DQDJHPHQW 6\VWHP EXW LQ QR HYHQW VK
SD\ GRZQ DQ\ EDODQFH RZHG E\ WKH VWXGHQW WR WKH 6FKRRO ZLW
WKH 'LVEXUVHPHQW ,QVWUXFWLRQ IRUP

6WXGHQWV ZLOO UHFHLYH WKH +((5) ,,, 6WXGHQW 6KDUH *UDQW IXQ
WR WKH VWXGHQW

5HSRUWLQJ DQG 7D[&RPSOLDQFH

7ULDQJOH 7HFK ZLOO FRPSO\ ZLWK (' DQG ,56 UHSRUWLQJ UHTXLUHP
53

7ULDQJOH 7HFK ZLOO LVVXH WKH DSSURSULDWH WD[GRFXPHQWV WR
DV UHTXLUHG E\ WKH ,QWHUQDO 5HYHQXH 6HUYLFH

5HVRXUFHV

$SSHQGL[$ 6DPSOH 7ULDQJOH 7HFK +((5) ,,, 6WXGHQW 6KDUH *UDQ
$SSHQGL[% 6DPSOH 7ULDQJOH 7HFK +((5) ,,, 6WXGHQW 6KDUH *UDG
8 6 'HSDUWPHQW RI (GXFDWLRQ (KWWSVWLRQZZZ('HG JRY DERXW RIILFHV OLVW RSH

%\ FRPSOHWLQJ WKLV DSSOLFDWLRQ , XQGHUVWDQG WKDW , D
(PHUJHQF\ 5HOLHI)XQG ,,,   +((5 ,,,   XQGHU WKH $PHULFDQ 5H

)XUWKHUPRUH , KDYH EHHQ SURYLGHG ZLWK 7ULDQJOH 7HFK¶V
ZKLFK LQFOXGHV HOLJLELOLW\ UHTXLUHPHQWV GHILQHG WHUP
FRPSOHWH WKLV $SSOLFDWLRQ &HUWLILFDWLRQ

<u>6WXGHQW ,QIRUPDWLRQ</u>

3OHDVH FRPSOHWH WKH IROORZLQJ LQIRUPDWLRQ

1DPH
7ULDQJOH 7HFK 6WXGHQW ,' 1XPEHU
7ULDQJOH 7HFK 6WXGHQW (PDLO
$GGUHVV

<u>$SSOLFDWLRQ DQG &HUWLILFDWLRQ</u>

 &DSLWDOL]HG WHUPV DUH GHILQHG LQ 7ULDQJOH 7HFK¶V +((5

, FHUWLI\ WKDW , DP DQ (OLJLEOH 6WXGHQW DQG DP VXEPLWWL
6KDUH *UDQW XQGHU 53

   %\ VLJQLQJ WKLV $SSOLFDWLRQ , FHUWLI\ WKH IROORZLQJ

     , KDYH UHDG WKH 7ULDQJOH 7HFK¶V +((5 ,,, 6WXGHQW 6KD

       , ZLOO XVH WKH +((5 ,,, 6WXGHQW 6KDUH *UDQW IXQGV IRU
     RU IRU HPHUJHQF\ FRVWV WKDW DULVH GXH WR FRURQDYLU
       LQFOXGLQJ PHQWDO KHDOWKFDUH RU FKLOG FDUH   ³+((5)

     , XQGHUVWDQG WKDW 53 UHTXLUHV WKDW 7ULDQJOH 7HF
     ZKHQ PDNLQJ +((5 ,,, 6WXGHQW 6KDUH *UDQW DZDUGV 'HS
     PD\ RU PD\ QRW EH DZDUGHG +((5 ,,, 6WXGHQW 6KDUH *UD

BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
6LJQDWXUH   'DWH

7ULDQJOH 7HFK ZLOO UHYLHZ \RXU $SSOLFDWLRQ DQG \RX ZLOO
+((5 ,,, 6WXGHQW 6KDUH *UDQW IXQGV DZDUGHG WR \X